

## TEST REPORT

EN 62133-2

Secondary cells and batteries containing alkaline or other non-acid electrolytes  
Safety requirements for portable sealed secondary cells, and for batteries made  
from them, for use in portable applications

### Part 2: Lithium systems

Report Number .....: TCT190626B734

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Testing laboratory .....: Shenzhen TCT Testing Technology Co., Ltd.

Address .....: 1B/F., Building 1, Yibaolai Industrial Park, Qiaotou,  
Fuyong, Baoan District, Shenzhen, Guangdong, China.

Testing location .....: As above

Applicant's name .....: Shenzhen Tcbest Battery Industry CO.,LTD.

Address .....: 5/F,Building A6 , Yintian Industrial Zone,Xixiang ,  
Bao' an District Shenzhen,China

Manufacturer's name .....: Shenzhen Tcbest Battery Industry CO.,LTD.

Address .....: 5/F,Building A6 , Yintian Industrial Zone,Xixiang ,  
Bao' an District Shenzhen,China

#### Test specification :

Standard .....: EN 62133-2: 2017

Test procedure .....: Type approved

Test result .....: Pass

Non-standard test method .....: N/A

The test results presented in this report relate only to the object tested. This report shall not be reproduced, except in full, without the written approval of the Issuing Shenzhen TCT Testing Technology Co., Ltd.

Test item description .....: Lithium-ion Cell

TradeMark .....: N/A

Model/type reference .....: TC 10440

Ratings .....: 3.7V, 350mAh, 1.295Wh

**List of Attachments (including a total number of pages in each attachment):**

**Attachment 1: Critical components information (page 15)**

**Attachment 2: Photo documentation (page 20)**

**Summary of testing:**

**Tests performed (name of test and test clause):**

cl.5.6.2 Design recommendation;  
cl.7.1 Charging procedure for test purposes (Cells);  
cl.7.2.1 Continuous charging at constant voltage (Cells);  
cl.7.3.1 External short circuit (Cells);  
cl.7.3.3 Free fall (for Cells);  
cl.7.3.4 Thermal abuse (Cells);  
cl.7.3.5 Crush (Cells);  
cl.7.3.7 Forced discharge (Cells);  
cl.7.3.9 Design evaluation – Forced internal short circuit (cells).

Tests are made with the number of cells and batteries specified in EN 62133-2: 2017Table 1.

**Testing location:**

**Shenzhen Tcbest Battery Industry CO.,LTD.**  
5/F,Building A6 , Yintian Industrial Zone,Xixiang ,  
Bao' an District Shenzhen,China

**Copy of marking plate:**

The artwork below may be only a draft

Lithium-ion Cell

Model: T C 10440 1ICR11/44

3.7V, 350mAh, 1.295Wh

Shenzhen Tcbest Battery Industry CO.,LTD.

+ Date: 2019. 05 Made in China -

WARNING: Risk of Fire and Burns. Do Not Open, Crush, Heat Above 60°C/140F or Incinerate.

Do not short circuit. If bulges severely, discontinue use. Follow Manufacturer's Instructions.

|   |   |
|---|---|
| <b>Test item particulars..... :</b>   |   |
| <b>Classification of installation and use..... :</b>  | To be defined in final product  |
| <b>Supply Connection..... :</b>   | Electrode plate   |
| <b>Recommend charging method declared by the manufacturer .....</b>   | Charging the battery with 160mA constant current until 4.20V and then constant voltage until charging current reduces to 50mA at ambient 20°C±5°C |
| <b>Discharge current (0,2 It A) .....</b>   | 70mA  |
| <b>Specified final voltage..... :</b>   | 2.75V   |
| <b>Upper limit charging voltage per cell..... :</b>   | 4.23V   |
| <b>Maximum charging current .....</b>   | 350mA   |
| <b>Charging temperature upper limit .....</b>   | 45°C  |
| <b>Charging temperature lower limit..... :</b>  | 0°C   |
| <b>Polymer cell electrolyte type..... :</b>   | <input type="checkbox"/> gel polymer <input type="checkbox"/> solid polymer <input checked="" type="checkbox"/> N/A                               |
| <b>Possible test case verdicts:</b>   |   |
| - test case does not apply to the test object..... : N/A  |   |
| - test object does meet the requirement..... : P (Pass)   |   |
| - test object does not meet the requirement..... : F (Fail)   |   |
| <b>Testing..... :</b>   |   |
| <b>Date of receipt of test item .....</b>   | 2019-06-26  |
| <b>Date (s) of performance of tests .....</b>   | 2019-06-27 to 2019-07-07  |
| <b>General remarks:</b>   |   |
| <p>The test results presented in this report relate only to the object tested,<br/>         This report shall not be reproduced, except in full, without the written approval of the issuing testing laboratory,<br/>         "(CXX)" refers to sample number of cells, "X" is 0~9;<br/>         "(BXX #)" refers to sample number of batteries, "X" is 0~9;<br/>         "(See below table)" refers to a table appended to the report.</p> |   |
| <b>Throughout this report a point is used as the decimal separator.</b>   |   |
| <b>When differences exist; they shall be identified in the General product information section.</b>   |   |
| <b>Name and address of factory (ies)..... :</b>   | Same as manufacturer  |

## General product information:

The cell consists of the positive electrode plate, negative electrode plate, separator, electrolyte, case. The positive and negative electrode plates are housed in the case in the state being separated by the separator

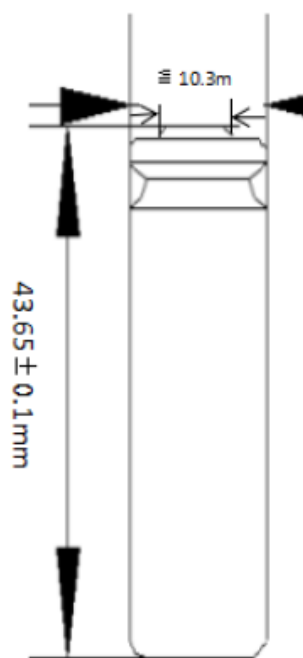
The main features of the cell as below (clause 7.1.1):

| Model    | Nominal capacity | Nominal voltage | Nominal Charge Current | Nominal Discharge Current | Maximum Charge Current | Maximum Discharge Current | Maximum Charge Voltage | Cut-off Voltage |
|----------|------------------|-----------------|------------------------|---------------------------|------------------------|---------------------------|------------------------|-----------------|
| TC 10440 | 350mAh           | 3.7V            | 175mA                  | 70mA                      | 350mA                  | 700mA                     | 4.23V                  | 2.75V           |

The main features of the cell as below (clause 7.1.2):

| Model    | Upper limit charge voltage | Taper-off current(0,05 It A) | Lower charge temperature | Upper charge temperature |
|----------|----------------------------|------------------------------|--------------------------|--------------------------|
| TC 10440 | 4.23V                      | 17.5mA                       | 0°C                      | 45°C                     |

## Construction:



Cell (Unit: mm)

## Circuit diagram:

None, cell only.

|            |  |  |            |
|------------|--|--|------------|
| <b>4</b>   | <b>PARAMETER MEASUREMENT TOLERANCES</b>  |  | <b>P</b>   |
|            | Parameter measurement tolerances   |  | <b>P</b>   |
| <b>5</b>   | <b>GENERAL SAFETY CONSIDERATIONS</b>   |  | <b>P</b>   |
| <b>5.1</b> | <b>General</b>   |  | <b>P</b>   |
|            | Cells and batteries so designed and constructed that they are safe under conditions of both intended use and reasonably foreseeable misuse   |  | <b>P</b>   |
| <b>5.2</b> | <b>Insulation and wiring</b>   |  | <b>N/A</b> |
|            | The insulation resistance between the positive terminal and externally exposed metal surfaces of the battery (excluding electrical contact surfaces) is not less than 5 MΩ   |  | <b>N/A</b> |
|            | Insulation resistance (MΩ) .....   |  | <b>—</b>   |
|            | Internal wiring and insulation are sufficient to withstand maximum anticipated current, voltage and temperature requirements   |  | <b>N/A</b> |
|            | Orientation of wiring maintains adequate clearance and creepage distances between conductors   |  | <b>N/A</b> |
|            | Mechanical integrity of internal connections accommodates reasonably foreseeable misuse  |  | <b>N/A</b> |
| <b>5.3</b> | <b>Venting</b>   |  | <b>P</b>   |
|            | Battery cases and cells incorporate a pressure relief mechanism or are constructed so that they relieve excessive internal pressure at a value and rate that will preclude rupture, explosion and self-ignition            | Explosion-proof safety valve for venting exists. | <b>P</b>   |
|            | Encapsulation used to support cells within an outer casing does not cause the battery to overheat during normal operation nor inhibit pressure relief  |  | <b>N/A</b> |
| <b>5.4</b> | <b>Temperature, voltage and current management</b>   |  | <b>N/A</b> |
|            | Batteries are designed such that abnormal temperature rise conditions are prevented  | Cell only.                                       | <b>N/A</b> |
|            | Batteries are designed to be within temperature, voltage and current limits specified by the cell manufacturer   |  | <b>N/A</b> |
|            | Batteries are provided with specifications and charging instructions for equipment manufacturers so that specified chargers are designed to maintain charging within the temperature, voltage and current limits specified |  | <b>N/A</b> |
| <b>5.5</b> | <b>Terminal contacts</b>   |  | <b>P</b>   |
|            | The size and shape of the terminal contacts ensure that they can carry the maximum anticipated current   | Complied.  | <b>P</b>   |
|            | External terminal contact surfaces are formed from conductive materials with good mechanical strength and corrosion resistance   |  | <b>P</b>   |

|            |  |            |     |
|------------|--|------------|-----|
|            | Terminal contacts are arranged to minimize the risk of short-circuit   |            | P   |
| <b>5.6</b> | <b>Assembly of cells into batteries</b>  |            | N/A |
| 5.6.1      | General  |            | N/A |
|            | Each battery have an independent control and protection for current, voltage, temperature and any other parameter required for safety and to maintain the cells within their operating region  | Cell only  | N/A |
|            | This protection may be provided external to the battery such as within the charger or the end devices  |            | N/A |
|            | If protection is external to the battery, the manufacturer of the battery provide this safety relevant information to the external device manufacturer for implementation  |            | N/A |
|            | If there is more than one battery housed in a single battery case, each battery have protective circuitry that can maintain the cells within their operating regions   |            | N/A |
|            | Manufacturers of cells specify current, voltage and temperature limits so that the battery manufacturer/designer may ensure proper design and assembly   |            | N/A |
|            | Batteries that are designed for the selective discharge of a portion of their series connected cell incorporate circuitry to prevent operation of cells outside the limits specified by the cell manufacturer  |            | N/A |
|            | Protective circuit components added as appropriate and consideration given to the end-device application   |            | N/A |
|            | The manufacturer of the battery provide a safety analysis of the battery safety circuitry with a test report including a fault analysis of the protection circuit under both charging and discharging conditions confirming the compliance   |            | N/A |
| 5.6.2      | Design recommendation  | Cell only. | N/A |
|            | For the battery consisting of a single cell or a single cellblock, it is recommended that the charging voltage of the cell does not exceed the upper limit of the charging voltage specified in Table 2  |            | N/A |
|            | For the battery consisting of series-connected plural single cells or series-connected plural cellblocks, it is recommended that the voltages of any one of the single cells or single cellblocks does not exceed the upper limit of the charging voltage, specified in Table 2, by monitoring the voltage of every single cell or the single cellblocks |            | N/A |

|       |   |                                     |     |
|-------|---|-------------------------------------|-----|
|       | For the battery consisting of series-connected plural single cells or series-connected plural cellblocks, it is recommended that charging is stopped when the upper limit of the charging voltage is exceeded for any one of the single cells or single cellblocks by measuring the voltage of every single cell or the single cellblocks |                                     | N/A |
|       | For batteries consisting of series-connected cells or cell blocks, nominal charge voltage not be counted as an overcharge protection  |                                     | N/A |
|       | For batteries consisting of series-connected cells or cell blocks, cells have closely matched capacities, be of the same design, be of the same chemistry and be from the same manufacturer   |                                     | N/A |
|       | It is recommended that the cells and cell blocks not discharged beyond the cell manufacturer's specified final voltage  |                                     | N/A |
|       | For batteries consisting of series-connected cells or cell blocks, cell balancing circuitry incorporated into the battery management system   |                                     | N/A |
| 5.6.3 | Mechanical protection for cells and components of batteries   | Cell only.                          | N/A |
|       | Mechanical protection for cells, cell connections and control circuits within the battery provided to prevent damage as a result of intended use and reasonably foreseeable misuse  |                                     | N/A |
|       | The mechanical protection can be provided by the battery case or it can be provided by the end product enclosure for those batteries intended for building into an end product  |                                     | N/A |
|       | The battery case and compartments housing cells designed to accommodate cell dimensional tolerances during charging and discharging as recommended by the cell manufacturer   |                                     | N/A |
|       | For batteries intended for building into a portable end product, testing with the battery installed within the end product considered when conducting mechanical tests  |                                     | N/A |
| 5.7   | <b>Quality plan</b>   |                                     | P   |
|       | The manufacturer prepares and implements a quality plan that defines procedures for the inspection of materials, components, cells and batteries and which covers the whole process of producing each type of cell or battery   | Complied.<br>Quality plan provided. | P   |
| 5.8   | <b>Battery safety components</b>  | Cell only.                          | N/A |
|       | According annex F   |                                     | N/A |
| 6     | <b>TYPE TEST AND SAMPLE SIZE</b>  |                                     | P   |



|  |  |                |     |
|--|--|----------------|-----|
|  | Tests are made with the number of cells or batteries specified in Table 1 using cells or batteries that are not more than six months old   |                | P   |
|  | Coin cells with resistance $\leq 3 \Omega$ (measured according annex D) are tested according table 1   | Not coin cells | N/A |
|  | Unless otherwise specified, tests are carried out in an ambient temperature of $20 \text{ }^{\circ}\text{C} \pm 5 \text{ }^{\circ}\text{C}$  |                | P   |
|  | The safety analysis of 5.6.1 identify those components of the protection circuit that are critical for short-circuit, overcharge and over discharge protection   | Cell only.     | N/A |
|  | When conducting the short-circuit test, consideration given to the simulation of any single fault condition that is likely to occur in the protecting circuit that would affect the short-circuit test | Cell only.     | N/A |

|            |  |   |     |
|------------|--|---|-----|
| <b>7</b>   | <b>SPECIFIC REQUIREMENTS AND TESTS</b>   |   | P   |
| <b>7.1</b> | <b>Charging procedure for test purposes</b>  |   | P   |
| 7.1.1      | First procedure  |   | P   |
|            | This charging procedure applies to subclauses other than those specified in 7.1.2  |   | P   |
|            | Unless otherwise stated in this document, the charging procedure for test purposes is carried out in an ambient temperature of $20 \text{ }^{\circ}\text{C} \pm 5 \text{ }^{\circ}\text{C}$ , using the method declared by the manufacturer  | See page 3.   | P   |
|            | Prior to charging, the battery have been discharged at $20 \text{ }^{\circ}\text{C} \pm 5 \text{ }^{\circ}\text{C}$ at a constant current of 0.2 It A down to a specified final voltage  | See page 3.   | P   |
| 7.1.2      | Second procedure   |   | P   |
|            | This charging procedure applies only to 7.3.1, 7.3.4, 7.3.5, and 7.3.9   |   | P   |
|            | After stabilization for 1 h and 4 h, respectively, at ambient temperature of highest test temperature and lowest test temperature, as specified in Table 2, cells are charged by using the upper limit charging voltage and maximum charging current, until the charging current is reduced to 0,05 It A, using a constant voltage charging method | Charge temperature specified by manufacturer: 0-45°C.<br>-5°C used for lower limit tests.<br>45°C used for upper limit tests. | P   |
| <b>7.2</b> | <b>Intended use</b>  |   | P   |
| 7.2.1      | Continuous charging at constant voltage (cells)  |   | P   |
|            | Fully charged cells are subjected for 7 days to a charge using the charging method for current and standard voltage specified by the cell manufacturer   | Charging for 7days with 160mA.  | P   |
|            | Results: No fire.No explosion. No leakage .....  | (See appended table 7.2.1)  | P   |
| 7.2.2      | Case stress at high ambient temperature (battery)  |   | N/A |
|            | Oven temperature ( $^{\circ}\text{C}$ ) .....  |   | —   |



|            |  |                            |     |
|------------|--|----------------------------|-----|
|            | Results: No physical distortion of the battery case resulting in exposure of internal protective components and cells  |                            | N/A |
| <b>7.3</b> | <b>Reasonably foreseeable misuse</b>   |                            | P   |
| 7.3.1      | External short-circuit (cell)  | Tested complied.           | P   |
|            | The cells were tested until one of the following occurred:   |                            | P   |
|            | - 24 hours elapsed; or   |                            | N/A |
|            | - The case temperature declined by 20% of the maximum temperature rise   |                            | P   |
|            | Results: No fire. No explosion..... :  | (See appended table 7.3.1) | P   |
| 7.3.2      | External short-circuit (battery)   | Cell only.                 | N/A |
|            | The batteries were tested until one of the following occurred:   |                            | N/A |
|            | - 24 hours elapsed; or   |                            | N/A |
|            | - The case temperature declined by 20 % of the maximum temperature rise  |                            | N/A |
|            | In case of rapid decline in short circuit current, the battery pack remained on test for an additional one hour after the current reached a low end steady state condition       |                            | N/A |
|            | A single fault in the discharge protection circuit conducted on one to four (depending upon the protection circuit) of the five samples before conducting the short-circuit test |                            | N/A |
|            | A single fault applies to protective component parts such as MOSFET, fuse, thermostat or positive temperature coefficient (PTC) thermistor                                       |                            | N/A |
|            | Results: No fire. No explosion..... :  |                            | N/A |
| 7.3.3      | Free fall  | Tested complied.           | P   |
|            | Results: No fire. No explosion   | No fire. No explosion.     | P   |
| 7.3.4      | Thermal abuse (cells)  | Tested complied.           | P   |
|            | Oven temperature (°C)..... :   | 130°C                      | —   |
|            | Results: No fire. No explosion   | No fire. No explosion.     | P   |
| 7.3.5      | Crush (cells)  | Tested complied.           | P   |
|            | The crushing force was released upon:  |                            | P   |
|            | - The maximum force of 13 kN ±0,78 kN has been applied; or   |                            | P   |
|            | - An abrupt voltage drop of one-third of the original voltage has been obtained  |                            | N/A |
|            | Results: No fire. No explosion..... :  | (See appended table 7.3.5) | P   |
| 7.3.6      | Over-charging of battery   | Cell only                  | N/A |
|            | The supply voltage which is:   |                            | N/A |

|         |  |   |     |
|---------|--|---|-----|
|         | - 1,4 times the upper limit charging voltage presented in Table A.1 (but not to exceed 6,0 V) for single cell/cell block batteries or  |   | N/A |
|         | - 1,2 times the upper limit charging voltage presented in Table A.1 per cell for series connected multi-cell batteries, and  |   | N/A |
|         | - Sufficient to maintain a current of 2,0 It A throughout the duration of the test or until the supply voltage is reached  |   | N/A |
|         | Test was continued until the temperature of the outer casing:  |   | N/A |
|         | - Reached steady state conditions (less than 10°C change in 30-minute period); or  |   | N/A |
|         | - Returned to ambient  |   | N/A |
|         | Results: No fire. No explosion..... :  |   | N/A |
| 7.3.7   | Forced discharge (cells)   | Tested complied.                              | P   |
|         | If the discharge voltage reaches the negative value of upper limit charging voltage within the testing duration, the voltage is maintained at the negative value of the upper limit charging voltage by reducing the current for the remainder of the testing duration |   | P   |
|         | If the discharge voltage does not reach the negative value of upper limit charging voltage within the testing duration, the test is terminated at the end of the testing duration  |   | N/A |
|         | Results: No fire. No explosion..... :  | (See appended table 7.3.7)                    | P   |
| 7.3.8   | Mechanical tests (batteries)   | Cell only.                                    | N/A |
| 7.3.8.1 | Vibration  |   | N/A |
|         | Results: No fire, no explosion, no rupture, no leakage or venting. .... :  |   | N/A |
| 7.3.8.2 | Mechanical shock   |   | N/A |
|         | Results: No leakage, no venting, no rupture, no explosion and no fire ..... :  |   | N/A |
| 7.3.9   | Design evaluation – Forced internal short-circuit (cells)  | Tested complied.                              | P   |
|         | The cells complied with national requirement for ..... :   | France, Japan, Republic of Korea, Switzerland | —   |
|         | The pressing was stopped upon:   |   | P   |
|         | - A voltage drop of 50 mV has been detected; or  |   | N/A |
|         | - The pressing force of 800 N (cylindrical cells) or 400 N (prismatic cells) has been reached  | 800N for cylindrical cell.                    | P   |
|         | Results: No fire ..... :   | (See appended table 7.3.9)                    | P   |

|            |   |  |            |
|------------|---|--|------------|
| <b>8</b>   | <b>INFORMATION FOR SAFETY</b>   |  | <b>P</b>   |
| <b>8.1</b> | <b>General</b>  |  | <b>P</b>   |
|            | Manufacturers of secondary cells ensure that information is provided about current, voltage and temperature limits of their products                                      | Information for safety mentioned in manufacturer's specifications.               | <b>P</b>   |
|            | Manufacturers of batteries ensure that equipment manufacturers and, in the case of direct sales, end-users are provided with information to minimize and mitigate hazards |  | <b>N/A</b> |
|            | Systems analyses performed by device manufacturers to ensure that a particular battery design prevents hazards from occurring during use of a product                     |  | <b>N/A</b> |
|            | As appropriate, any information relating to hazard avoidance resulting from a system analysis provided to the end user  |  | <b>N/A</b> |
|            | Do not allow children to replace batteries without adult supervision  |  | <b>N/A</b> |
| <b>8.2</b> | <b>Small cell and battery safety information</b>  | Not small cell   | <b>N/A</b> |
|            | The following warning language is to be provided with the information packaged with the small cells and batteries or equipment using them:                                |  | <b>N/A</b> |
|            | - Keep small cells and batteries which are considered swallowable out of the reach of children  |  | <b>N/A</b> |
|            | - Swallowing may lead to burns, perforation of soft tissue, and death. Severe burns can occur within 2 h of ingestion   |  | <b>N/A</b> |
|            | - In case of ingestion of a cell or battery, seek medical assistance promptly   |  | <b>N/A</b> |
| <b>9</b>   | <b>MARKING</b>  |  | <b>P</b>   |
| <b>9.1</b> | <b>Cell marking</b>   |  | <b>P</b>   |
|            | Cells marked as specified in IEC 61960, except coin cells   | The cell is marked in accordance with IEC 61960, also see Copy of marking plate. | <b>P</b>   |
|            | Coin cells whose external surface area is too small to accommodate the markings on the cells show the designation and polarity  |  | <b>N/A</b> |
|            | By agreement between the cell manufacturer and the battery and/or end product manufacturer, component cells used in the manufacture of a battery need not be marked       |  | <b>N/A</b> |
| <b>9.2</b> | <b>Battery marking</b>  | Cell only.   | <b>N/A</b> |
|            | Batteries marked as specified in IEC 61960, except for coin batteries   |  | <b>N/A</b> |

|            |   |   |     |
|------------|---|---|-----|
|            | Coin batteries whose external surface area is too small to accommodate the markings on the batteries show the designation and polarity. Batteries also marked with an appropriate caution statement               |   | N/A |
|            | Terminals have clear polarity marking on the external surface of the battery  |   | N/A |
|            | Batteries with keyed external connectors designed for connection to specific end products need not be marked with polarity markings if the design of the external connector prevents reverse polarity connections |   | N/A |
| <b>9.3</b> | <b>Caution for ingestion of small cells and batteries</b>   | Not small cell  | N/A |
|            | Coin cells and batteries identified as small batteries according to 8.2 include a caution statement regarding the hazards of ingestion in accordance with 8.2   |   | N/A |
|            | When small cells and batteries are intended for direct sale in consumer-replaceable applications, caution for ingestion given on the immediate package  |   | N/A |
| <b>9.4</b> | <b>Other information</b>  |   | P   |
|            | Storage and disposal instructions   | Information for storage instructions mentioned in manufacturer's specifications.              | P   |
|            | Recommended charging instructions   | Information for recommended charging instructions mentioned in manufacturer's specifications. | P   |

|           |   |                 |     |
|-----------|---|-----------------|-----|
| <b>10</b> | <b>PACKAGING AND TRANSPORT</b>  |                 | P   |
|           | Packaging for coin cells not small enough to fit within the limits of the ingestion gauge of Figure 3   | Not coin cells. | N/A |
|           | The materials and packaging design are chosen so as to prevent the development of unintentional electrical conduction, corrosion of the terminals and ingress of environmental contaminants |                 | P   |

|                |   |                |          |
|----------------|---|----------------|----------|
| <b>ANNEX A</b> | <b>CHARGING AND DISCHARGING RANGE OF SECONDARY LITHIUM ION CELLS FOR SAFE USE</b> |                | <b>P</b> |
| <b>A.1</b>     | <b>General</b>  |                | P        |
| <b>A.2</b>     | <b>Safety of lithium ion secondary battery</b>                                    | Complied.      | P        |
| <b>A.3</b>     | <b>Consideration on charging voltage</b>  | Complied.      | P        |
| A.3.1          | General   |                | P        |
| A.3.2          | Upper limit charging voltage  | 4.23V applied. | P        |
| A.3.2.1        | General   |                | P        |
| A.3.2.2        | Explanation of safety viewpoint   |                | P        |

|            |   |  |     |
|------------|---|--|-----|
| A.3.2.3    | Safety requirements, when different upper limit charging voltage is applied             | 4.23V applied.   | P   |
| <b>A.4</b> | <b>Consideration of temperature and charging current</b>                                |  | P   |
| A.4.1      | General   |  | P   |
| A.4.2      | Recommended temperature range   | See A.4.2.2.   | P   |
| A.4.2.1    | General   |  | P   |
| A.4.2.2    | Safety consideration when a different recommended temperature range is applied          | Charging temperature range declared by client is: 0-45°C   | P   |
| A.4.3      | High temperature range  | Not higher than the temperature specific in this standard  | N/A |
| A.4.3.1    | General   |  | N/A |
| A.4.3.2    | Explanation of safety viewpoint   |  | N/A |
| A.4.3.3    | Safety considerations when specifying charging conditions in the high temperature range |  | N/A |
| A.4.3.4    | Safety considerations when specifying a new upper limit in the high temperature range   |  | N/A |
| A.4.4      | Low temperature range   | No documents provided by manufacturer explaining the lower limit exceed 10°C, -5°C applied for testing in this report for safety considerations. | P   |
| A.4.4.1    | General   |  | P   |
| A.4.4.2    | Explanation of safety viewpoint   |  | P   |
| A.4.4.3    | Safety considerations, when specifying charging conditions in the low temperature range |  | P   |
| A.4.4.4    | Safety considerations when specifying a new lower limit in the low temperature range    |  | P   |
| A.4.5      | Scope of the application of charging current  |  | P   |
| A.4.6      | Consideration of discharge  |  | P   |
| A.4.6.1    | General   |  | P   |
| A.4.6.2    | Final discharge voltage and explanation of safety viewpoint                             | Cell specified final voltage 2.75V, not exceed 2.75V specified by manufacturer.  | P   |
| A.4.6.3    | Discharge current and temperature range   |  | P   |
| A.4.6.4    | Scope of application of the discharging current   |  | P   |
| <b>A.5</b> | <b>Sample preparation</b>   |  | P   |
| A.5.1      | General   |  | P   |
| A.5.2      | Insertion procedure for nickel particle to generate internal short                      |  | P   |
| A.5.3      | Disassembly of charged cell   |  | P   |
| A.5.4      | Shape of nickel particle  |  | P   |

|            |   |  |     |
|------------|---|--|-----|
| A.5.5      | Insertion of nickel particle in cylindrical cell  |  | P   |
| A.5.5.1    | Insertion of nickel particle in winding core  |  | P   |
| A.5.5.2    | Marking the position of the nickel particle on both ends of the winding core of the separator |  | P   |
| A.5.6      | Insertion of nickel particle in prismatic cell  |  | N/A |
| <b>A.6</b> | <b>Experimental procedure of the forced internal short-circuit test</b>                       |  | P   |
| A.6.1      | Material and tools for preparation of nickel particle   |  | P   |
| A.6.2      | Example of a nickel particle preparation procedure  |  | P   |
| A.6.3      | Positioning (or placement) of a nickel particle   |  | P   |
| A.6.4      | Damaged separator precaution  |  | P   |
| A.6.5      | Caution for rewinding separator and electrode   |  | P   |
| A.6.6      | Insulation film for preventing short-circuit  |  | P   |
| A.6.7      | Caution when disassembling a cell   |  | P   |
| A.6.8      | Protective equipment for safety   |  | P   |
| A.6.9      | Caution in the case of fire during disassembling  |  | P   |
| A.6.10     | Caution for the disassembling process and pressing the electrode core                         |  | P   |
| A.6.11     | Recommended specifications for the pressing device  |  | P   |

|                |  |     |
|----------------|--|-----|
| <b>ANNEX B</b> | <b>RECOMMENDATIONS TO EQUIPMENT MANUFACTURERS AND BATTERY ASSEMBLERS</b> | N/A |
|----------------|--|-----|

|                |   |     |
|----------------|---|-----|
| <b>ANNEX C</b> | <b>RECOMMENDATIONS TO THE END-USERS</b> | N/A |
|----------------|---|-----|

|                |   |                                |
|----------------|---|--------------------------------|
| <b>ANNEX D</b> | <b>MEASUREMENT OF THE INTERNAL AC RESISTANCE FOR COIN CELLS</b>   | N/A                            |
| <b>D.1</b>     | <b>General</b>  | N/A                            |
| <b>D.2</b>     | <b>Method</b>   | N/A                            |
|                | A sample size of three coin cells is required for this measurement..... :   | (See appended tableD.2)<br>N/A |
|                | Coin cells with an internal resistance of less than or equal to 3 $\Omega$ are subjected to the testing according to Clause 6 and Table 1 | N/A                            |
|                | Coin cells with an internal resistance greater than 3 $\Omega$ require no further testing   | N/A                            |

|                |                                |     |
|----------------|--------------------------------|-----|
| <b>ANNEX E</b> | <b>PACKAGING AND TRANSPORT</b> | N/A |
|----------------|--------------------------------|-----|

|                |                                       |     |
|----------------|---------------------------------------|-----|
| <b>ANNEX F</b> | <b>COMPONENT STANDARDS REFERENCES</b> | N/A |
|----------------|---------------------------------------|-----|

| Attachment 1: Critical components information  |   |            |   |                     | P                                      |
|--|---|------------|---|---------------------|--|
| Object/part no.  | Manufacturer/<br>trademark                          | Type/model | Technical data  | Standard            | Mark(s) of<br>conformity <sup>1)</sup> |
| Cell   | Shenzhen<br>Tcbest Battery<br>Industry CO.,<br>LTD. | TC 10440   | 3.7V,350mAh   | EN 62133-2:<br>2017 | Tested with<br>appliance               |
| -Positive<br>electrode   | Hunan<br>Shanshan New<br>Material Co., Ltd.         | LC420HS    | LiCoO <sub>2</sub> , PVDF,<br>Conductive Additive,<br>Aluminum Foil | --                  | --                                     |
| - Negative<br>electrode  | Shanghai<br>Shanshan<br>Technology Co.,<br>Ltd.     | FSN-4      | Graphite, CMC, SBR,<br>Conductive Additive,<br>Copper Foil          | --                  | --                                     |
| - Separator  | Zhuhai Sai Wei<br>Electronic<br>Material Co., Ltd.  | SWHM-A003  | LiPF <sub>6</sub> +DMC+EMC+E<br>C                                   | --                  | --                                     |
| - Electrolyte  | Chongqing<br>Yuntianhua<br>Newmi-Tech<br>Co., Ltd   | NM-12      | PE, Shutdown<br>temperature: 130°C                                  | --                  | --                                     |
| <b>Supplementary information:</b><br><sup>1)</sup> Provided evidence ensures the agreed level of compliance. |   |            |   |                     |  |



| 7.2.1  | TABLE: Continuous charging at constant voltage (cells) |  |                      |         | P |
|--|--|--|----------------------|---------|---|
| Sample no.   | Recommended charging voltage<br>Vc (Vdc)               | Recommended charging current<br>I <sub>rec</sub> (A) | OCV before test(Vdc) | Results |   |
| C01  | 4.20   | 0.16   | 4.18                 | P       |   |
| C02  | 4.20   | 0.16   | 4.19                 | P       |   |
| C03  | 4.20   | 0.16   | 4.18                 | P       |   |
| C04  | 4.20   | 0.16   | 4.18                 | P       |   |
| C05  | 4.20   | 0.16   | 4.19                 | P       |   |
| <b>Supplementary information:</b><br>- No fire or explosion<br>- No leakage<br>- Others (please explain) |  |  |                      |         |   |

| 7.3.1  | TABLE: External short-circuit (cell) |                       |                            |   | P       |
|--|--------------------------------------|-----------------------|----------------------------|---|---------|
| Sample no.   | Ambient T (°C)                       | OCV before test (Vdc) | Resistance of circuit (mΩ) | Maximum case temperature rise $\Delta T_r$ (°C) | Results |
| Samples charged at charging temperature upper limit 45°C                                 |                                      |                       |                            |   |         |
| C01  | 55.0                                 | 4.21                  | 82                         | 113.5   | P       |
| C02  | 55.0                                 | 4.20                  | 81                         | 114.3   | P       |
| C03  | 55.0                                 | 4.21                  | 79                         | 110.5   | P       |
| C04  | 55.0                                 | 4.21                  | 80                         | 112.4   | P       |
| C05  | 55.0                                 | 4.21                  | 81                         | 112.7   | P       |
| Samples charged at charging temperature lower limit -5°C                                 |                                      |                       |                            |   |         |
| C06  | 55.0                                 | 4.18                  | 81                         | 108.6   | P       |
| C07  | 55.0                                 | 4.17                  | 82                         | 110.7   | P       |
| C08  | 55.0                                 | 4.17                  | 81                         | 107.2   | P       |
| C09  | 55.0                                 | 4.17                  | 81                         | 108.7   | P       |
| C10  | 55.0                                 | 4.17                  | 80                         | 106.4   | P       |
| <b>Supplementary information:</b><br>- No fire or explosion<br>- Others (please explain) |                                      |                       |                            |   |         |

| 7.3.2                      | TABLE: External short-circuit (battery) |                       |                            |   |                                  | N/A     |
|----------------------------|---|-----------------------|----------------------------|---|----------------------------------|---------|
| Sample no.                 | Ambient T (°C)                          | OCV before test (Vdc) | Resistance of circuit (mΩ) | Maximum case temperature rise $\Delta T_r$ (°C) | Component single fault condition | Results |
|                            |   |                       |                            |   |                                  |         |
|                            |   |                       |                            |   |                                  |         |
|                            |   |                       |                            |   |                                  |         |
|                            |   |                       |                            |   |                                  |         |
|                            |   |                       |                            |   |                                  |         |
| Supplementary information: |   |                       |                            |   |                                  |         |
| - No fire or explosion     |   |                       |                            |   |                                  |         |
| - Others (please explain)  |   |                       |                            |   |                                  |         |

|   |                       |  |   |         |   |
|---|-----------------------|--|---|---------|---|
| 7.3.5   | TABLE: Crush (cells)  |  |   |         | P |
| Sample no.  | OCV before test (Vdc) | OCV at removal of crushing force (Vdc) | Maximum force applied to the cell during crush (kN) | Results |   |
| Samples charged at charging temperature upper limit45°C |                       |  |   |         |   |
| C01   | 4.21                  | 4.21                                   | 13.01   | P       |   |
| C02   | 4.20                  | 4.20                                   | 13.03   | P       |   |
| C03   | 4.20                  | 4.20                                   | 13.02   | P       |   |
| C04   | 4.20                  | 4.20                                   | 13.02   | P       |   |
| C05   | 4.20                  | 4.20                                   | 13.02   | P       |   |
| Supplementary information:                              |                       |  |   |         |   |
| - No fire or explosion                                  |                       |  |   |         |   |
| - Others (please explain)                               |                       |  |   |         |   |

| 7.3.6                               | TABLE: Over-charging of battery |                              |                                     |         | N/A |
|-------------------------------------|---------------------------------|------------------------------|-------------------------------------|---------|-----|
| Constant charging current (A) ..... |                                 |                              |                                     |         | —   |
| Supply voltage (Vdc) .....          |                                 |                              |                                     |         | —   |
| Sample no.                          | OCV before charging (Vdc)       | Total charging time (minute) | Maximum outer case temperature (°C) | Results |     |
|                                     |                                 |                              |                                     |         |     |
|                                     |                                 |                              |                                     |         |     |
|                                     |                                 |                              |                                     |         |     |
|                                     |                                 |                              |                                     |         |     |
|                                     |                                 |                              |                                     |         |     |
| Supplementary information:          |                                 |                              |                                     |         |     |
| - No fire or explosion              |                                 |                              |                                     |         |     |
| - Others (please explain)           |                                 |                              |                                     |         |     |

| 7.3.7  | TABLE: Forced discharge (cells)                |  |                                     |         | P |
|--|--|--|-------------------------------------|---------|---|
| Sample no.   | OCV before application of reverse charge (Vdc) | Measured reverse charge I <sub>t</sub> (A) | Lower limit discharge voltage (Vdc) | Results |   |
| C01  | 3.22   | 0.32                                       | -4.23                               | P       |   |
| C02  | 3.22   | 0.32                                       | -4.23                               | P       |   |
| C03  | 3.23   | 0.32                                       | -4.23                               | P       |   |
| C04  | 3.23   | 0.32                                       | -4.23                               | P       |   |
| C05  | 3.22   | 0.32                                       | -4.23                               | P       |   |
| <b>Supplementary information:</b><br>- No fire or explosion<br>- Others (please explain) |  |  |                                     |         |   |

| 7.3.8.1  | TABLE: Vibration      |                      |                      |                    |         | N/A |
|--|-----------------------|----------------------|----------------------|--------------------|---------|-----|
| Sample no.   | OCV before test (Vdc) | OCV after test (Vdc) | Mass before test (g) | Mass after test(g) | Results |     |
|  |                       |                      |                      |                    |         |     |
|  |                       |                      |                      |                    |         |     |
|  |                       |                      |                      |                    |         |     |
| <b>Supplementary information:</b><br>- No fire or explosion<br>- No rupture<br>- No leakage<br>- No venting<br>- Others (please explain) |                       |                      |                      |                    |         |     |

| 7.3.8.2  | TABLE: Mechanical shock |                      |                      |                    |         | N/A |
|--|-------------------------|----------------------|----------------------|--------------------|---------|-----|
| Sample no.   | OCV before test (Vdc)   | OCV after test (Vdc) | Mass before test (g) | Mass after test(g) | Results |     |
|  |                         |                      |                      |                    |         |     |
|  |                         |                      |                      |                    |         |     |
|  |                         |                      |                      |                    |         |     |
| <b>Supplementary information:</b><br>- No fire or explosion<br>- No rupture<br>- No leakage<br>- No venting<br>- Others (please explain) |                         |                      |                      |                    |         |     |

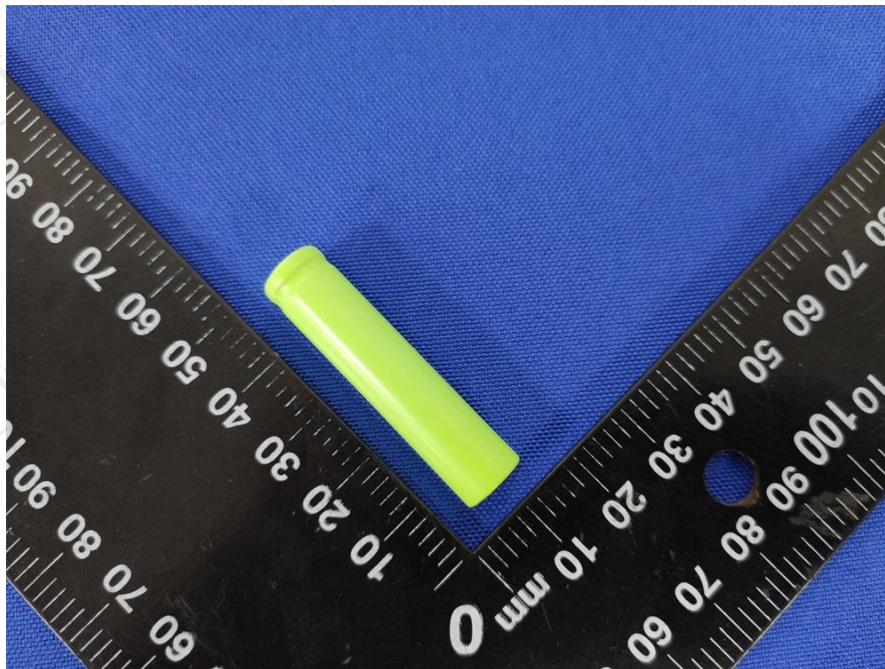
| 7.3.9   | TABLE: Design evaluation – Forced internal short-circuit (Cells) |                       |                                 |                              |         | P |
|---|--|-----------------------|---------------------------------|------------------------------|---------|---|
| Sample no.  | Chamber ambient T (°C)   | OCV before test (Vdc) | Particle location <sup>1)</sup> | Maximum applied pressure (N) | Results |   |
| Samples charged at charging temperature upper limit 45°C  |  |                       |                                 |                              |         |   |
| C01   | 45   | 4.20                  | 1                               | 800                          | P       |   |
| C02   | 45   | 4.21                  | 1                               | 800                          | P       |   |
| C03   | 45   | 4.20                  | 1                               | 800                          | P       |   |
| C04   | 45   | 4.21                  | 1                               | 800                          | P       |   |
| C05   | 45   | 4.20                  | 1                               | 800                          | P       |   |
| Samples charged at charging temperature lower limit -5°C  |  |                       |                                 |                              |         |   |
| C06   | -5   | 4.18                  | 1                               | 800                          | P       |   |
| C07   | -5   | 4.17                  | 1                               | 800                          | P       |   |
| C08   | -5   | 4.18                  | 1                               | 800                          | P       |   |
| C09   | -5   | 4.17                  | 1                               | 800                          | P       |   |
| C10   | -5   | 4.18                  | 1                               | 800                          | P       |   |
| Supplementary information:  |  |                       |                                 |                              |         |   |
| <sup>1)</sup> Identify one of the following:  |  |                       |                                 |                              |         |   |
| 1: Nickel particle inserted between positive and negative (active material) coated area.              |  |                       |                                 |                              |         |   |
| 2: Nickel particle inserted between positive aluminium foil and negative active material coated area. |  |                       |                                 |                              |         |   |
| - No fire or explosion  |  |                       |                                 |                              |         |   |
| - Others (please explain)   |  |                       |                                 |                              |         |   |

|  |  |                |                    |                       |     |
|--|--|----------------|--------------------|-----------------------|-----|
| D.2  | TABLE: Internal AC resistance for coin cells |                |                    |                       | N/A |
| Sample no.   | Ambient T (°C)                               | Store time (h) | Resistance Rac (Ω) | Results <sup>1)</sup> |     |
|  |  |                |                    |                       |     |
|  |  |                |                    |                       |     |
|  |  |                |                    |                       |     |
| Supplementary information:   |  |                |                    |                       |     |
| <sup>1)</sup> Coin cells with internal resistance less than or equal to 3 Ω, see test result on corresponding tables |  |                |                    |                       |     |

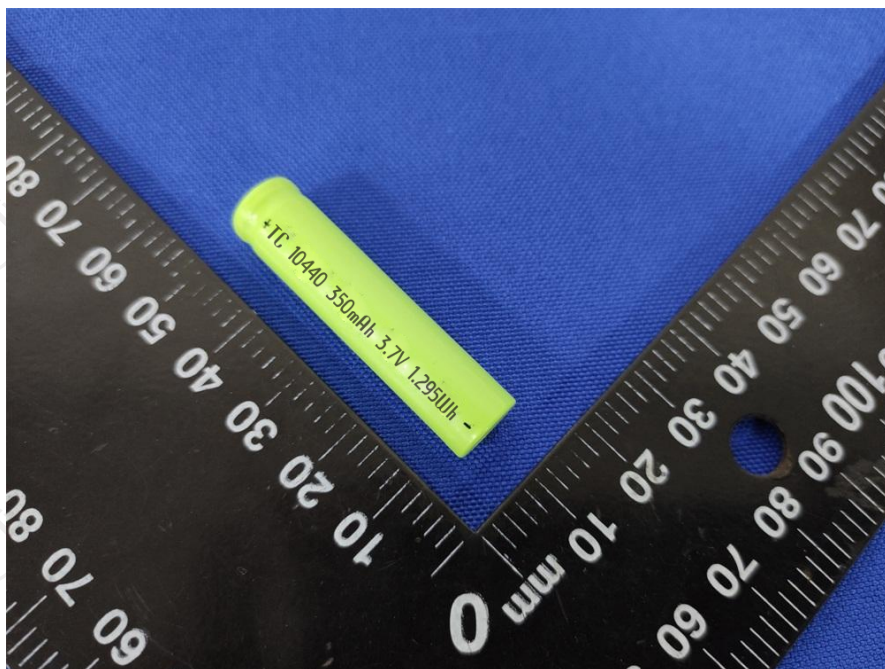
**Attachment2**

**Photo Documentation**

Product: Lithium-ion Cell  
Type Designation: TC 10440



**Picture 1. Cell view-1**



**Picture 2. Cell view-2**

**\*\*\* End of Test Report \*\*\***